EXTENSION OF TIME GRANTED TO 7/15/03

Form 990

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

Department of the Treasury
Internal Revenue Service

OMB No. 1545-0047

2001

Open to Public Inspection

A For the 2001 calendar year, or tax year period beginning SEP 1, 2001 and ending AUG 31, 2002

B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending

C Name of organization: EDUCATION THROUGH MUSIC, INC.

Number and street (or P.O. box if mail is not delivered to street address): 122 East 42nd Street — Room/suite: 1622

City or town, state or country, and ZIP + 4: NEW YORK, NY 10168

D Employer identification number: 13-3613210

E Telephone number: 212-972-4788

F Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? N/A ☐ Yes ☐ No (If "No," attach a list)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

I Enter 4-digit GEN ▶

G Web site ▶HTTP://WWW.ETMONLINE.ORG

J Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25,000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data Some states require a complete return

M Check ▶ ☐ if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 894,567.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

Revenue	1	Contributions, gifts, grants, and similar amounts received				
	a	Direct public support	1a	661,158.		
	b	Indirect public support	1b			
	c	Government contributions (grants)	1c	117,000.		
	d	Total (add lines 1a through 1c) (cash $ 742,495. noncash $ 35,663.)			1d	778,158.
	2	Program service revenue including government fees and contracts (from Part VII, line 93)			2	91,115.
	3	Membership dues and assessments			3	
	4	Interest on savings and temporary cash investments			4	2,752.
	5	Dividends and interest from securities			5	
	6a	Gross rents	6a			
	b	Less rental expenses	6b			
	c	Net rental income or (loss) (subtract line 6b from line 6a)			6c	
	7	Other investment income (describe ▶)			7	
	8a	Gross amount from sale of assets other than inventory — (A) Securities / (B) Other	8a			
	b	Less cost or other basis and sales expenses	8b			
	c	Gain or (loss) (attach schedule)	8c			
	d	Net gain or (loss) (combine line 8c, columns (A) and (B))			8d	
	9	Special events and activities (attach schedule)				
	a	Gross revenue (not including $ 53,536. of contributions reported on line 1a)	9a	21,739.		
	b	Less direct expenses other than fundraising expenses	9b	21,739.		
	c	Net income or (loss) from special events (subtract line 9b from line 9a)			9c	0.
	10a	Gross sales of inventory, less returns and allowances	10a			
	b	Less cost of goods sold	10b			
	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)			10c	
	11	Other revenue (from Part VII, line 103)			11	803.
	12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)			12	872,828.
Expenses	13	Program services (from line 44, column (B))			13	555,157.
	14	Management and general (from line 44, column (C))			14	71,112.
	15	Fundraising (from line 44, column (D))			15	58,841.
	16	Payments to affiliates (attach schedule)			16	
	17	Total expenses (add lines 16 and 44, column (A))			17	685,110.
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)			18	187,718.
	19	Net assets or fund balances at beginning of year (from line 73, column (A))			19	320,655.
	20	Other changes in net assets or fund balances (attach explanation)			20	319.
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)			21	508,692.

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SCANNED

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash $ ______ noncash $ ______	22				
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	87,500.	65,625.	8,750.	13,125.
26 Other salaries and wages	26				
27 Pension plan contributions	27				
28 Other employee benefits	28				
29 Payroll taxes	29				
30 Professional fundraising fees	30				
31 Accounting fees	31				
32 Legal fees	32				
33 Supplies	33				
34 Telephone	34				
35 Postage and shipping	35				
36 Occupancy	36				
37 Equipment rental and maintenance	37				
38 Printing and publications	38				
39 Travel	39				
40 Conferences, conventions, and meetings	40				
41 Interest	41				
42 Depreciation, depletion, etc (attach schedule)	42	14,596.	12,552.	1,022.	1,022.
43 Other expenses not covered above (itemize)					
a SEE STATEMENT A	43a	583,014.	476,980.	61,340.	44,694.
b	43b				
c	43c				
d	43d				
e	43e				
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13 15	44	685,110.	555,157.	71,112.	58,841.

Joint Costs Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ______, (ii) the amount allocated to Program services $ ______,
(iii) the amount allocated to Management and general $ ______, and (iv) the amount allocated to Fundraising $ ______

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶ SEE STATEMENT 3

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served publications issued etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)
a SEE ATTACHED STATEMENT #B (Grants and allocations $)	555,157.
b (Grants and allocations $)	
c (Grants and allocations $)	
d (Grants and allocations $)	
e Other program services (attach schedule) (Grants and allocations $)	
f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶	555,157.

Part IV Balance Sheets

Note Where required, attached schedules and amounts within the description column should be for end-of-year amounts only			(A) Beginning of year		(B) End of year
Assets					
45 Cash - non-interest-bearing			62,601.	45	52,998.
46 Savings and temporary cash investments			74,748.	46	120,777.
47 a Accounts receivable	47a	95.			
b Less allowance for doubtful accounts	47b		5,064.	47c	95.
48 a Pledges receivable	48a				
b Less allowance for doubtful accounts	48b			48c	
49 Grants receivable			127,703.	49	163,500.
50 Receivables from officers, directors, trustees, and key employees				50	
51 a Other notes and loans receivable	51a				
b Less allowance for doubtful accounts	51b			51c	
52 Inventories for sale or use				52	
53 Prepaid expenses and deferred charges			3,453.	53	4,644.
54 Investments - securities ▶ ☐ Cost ☐ FMV				54	
55 a Investments - land, buildings, and equipment basis	55a				
b Less accumulated depreciation	55b			55c	
56 Investments - other SEE STATEMENT 4			1,988.	56	104,277.
57 a Land, buildings, and equipment basis	57a	89,406.			
b Less accumulated depreciation STMT 5	57b	38,922.	36,651.	57c	50,484.
58 Other assets (describe ▶ SEE STATEMENT 6)			13,447.	58	13,512.
59 **Total assets** (add lines 45 through 58) (must equal line 74)			325,655.	59	510,287.
Liabilities					
60 Accounts payable and accrued expenses				60	1,595.
61 Grants payable				61	
62 Deferred revenue			5,000.	62	
63 Loans from officers, directors, trustees, and key employees				63	
64 a Tax-exempt bond liabilities				64a	
b Mortgages and other notes payable				64b	
65 Other liabilities (describe ▶)				65	
66 **Total liabilities** (add lines 60 through 65)			5,000.	66	1,595.
Net Assets or Fund Balances					
Organizations that follow SFAS 117, check here ▶ [X] and complete lines 67 through 69 and lines 73 and 74					
67 Unrestricted			178,772.	67	258,699.
68 Temporarily restricted			141,883.	68	249,993.
69 Permanently restricted				69	
Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74					
70 Capital stock, trust principal, or current funds				70	
71 Paid-in or capital surplus, or land, building, and equipment fund				71	
72 Retained earnings, endowment, accumulated income, or other funds				72	
73 **Total net assets or fund balances** (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)			320,655.	73	508,692.
74 **Total liabilities and net assets / fund balances** (add lines 66 and 73)			325,655.	74	510,287.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

a	Total revenue, gains, and other support per audited financial statements	a	873,147.
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments $ 319.		
(2)	Donated services and use of facilities $		
(3)	Recoveries of prior year grants $		
(4)	Other (specify) $		
	Add amounts on lines (1) through (4)	b	319.
c	Line a minus line b	c	872,828.
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) $		
	Add amounts on lines (1) and (2)	d	0.
e	Total revenue per line 12, Form 990 (line c plus line d)	e	872,828.

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

a	Total expenses and losses per audited financial statements	a	685,110.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities $		
(2)	Prior year adjustments reported on line 20, Form 990 $		
(3)	Losses reported on line 20, Form 990 $		
(4)	Other (specify) $		
	Add amounts on lines (1) through (4)	b	0.
c	Line a minus line b	c	685,110.
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) $		
	Add amounts on lines (1) and (2)	d	0.
e	Total expenses per line 17, Form 990 (line c plus line d)	e	685,110.

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
KATHERINE DAMKOHLER	EXECUTIVE DIRECTOR 50+	87,500.	0.	0.
BOARD OF DIRECTORS (SEE STATEMENT C)		0.	0.	0.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes," attach schedule ▶ ☐ Yes ☒ No

Part VI Other Information

				Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		77		X
78 a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?		78a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	78b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement		79		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc , to any other exempt or nonexempt organization?		80a		X
b	If "Yes," enter the name of the organization ▶ ______ and check whether it is ☐ exempt OR ☐ nonexempt.				
81 a	Enter direct or indirect political expenditures See line 81 instructions	81a 0.			
b	Did the organization file Form 1120-POL for this year?		81b		X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		82a		X
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b N/A			
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?		83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?		83b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?		84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	N/A	84b		
85	*501(c)(4), (5), or (6) organizations* a Were substantially all dues nondeductible by members?	N/A	85a		
b	Did the organization make only in-house lobbying expenditures of $2,000 or less?	N/A	85b		
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year				
c	Dues, assessments, and similar amounts from members	85c N/A			
d	Section 162(e) lobbying and political expenditures	85d N/A			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e N/A			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f N/A			
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	N/A	85g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	N/A	85h		
86	*501(c)(7) organizations* Enter a Initiation fees and capital contributions included on line 12	86a N/A			
b	Gross receipts, included on line 12, for public use of club facilities	86b N/A			
87	*501(c)(12) organizations* Enter a Gross income from members or shareholders	87a N/A			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b N/A			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Part IX		88		X
89 a	*501(c)(3) organizations* Enter Amount of tax imposed on the organization during the year under section 4911 ▶ 0. , section 4912 ▶ 0. , section 4955 ▶ 0.				
b	*501(c)(3) and 501(c)(4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction		89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958	▶ 0.			
d	Enter Amount of tax on line 89c, above, reimbursed by the organization	▶ 0.			
90 a	List the states with which a copy of this return is filed ▶ NEW YORK				
b	Number of employees employed in the pay period that includes March 12, 2001	90b 21			

91 The books are in care of ▶ ORGANIZATION Telephone no ▶ 212-972-4788

Located at ▶ 122 EAST 42ND STREET NEW YORK, NY ZIP + 4 ▶ 10168

92 *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* Form 1041- Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92 N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

Note: Enter gross amounts unless otherwise indicated.	Unrelated business income (A) Business code	Unrelated business income (B) Amount	Excluded by section 512, 513, or 514 (C) Exclusion code	Excluded by section 512, 513, or 514 (D) Amount	(E) Related or exempt function income
93 Program service revenue:					
a PROGRAM INCOME					91,115.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	2,752.	
96 Dividends and interest from securities					
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue:					
a MISCELLANEOUS					803.
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		2,752.	91,918.
105 Total (add line 104, columns (B), (D), and (E)) ▶					94,670.

Note: *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.*

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
93A	PROGRAM INCOME- FINE ARTS INSTRUCTION TO STUDENTS AND STAFF
93A	DEVELOPMENT, CONSULTING WITH SCHOOL STAFF ON VARIOUS ARTS PROGRAMS.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

Note: *If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).*

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true,

[redacted] information of which preparer has any knowledge.

7/15/03 ▶ KATHERINE DAMKOHLER

Date Type or print name and title EXEC. DIR

Date Check if Preparer's SSN or PTIN

[redacted]

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No 1545 0047

2001

Name of the organization EDUCATION THROUGH MUSIC, INC.
C/O EDMUND SCHROEDER, ESQ.

Employer identification number 13 3613210

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
SARA LISE RAFF 110 WEST END AVENUE	PROGRAM DIR. 40+	52,500.	3,433.	
Total number of other employees paid over $50,000 ▶	0			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶ 0		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ

Schedule A (Form 990 or 990-EZ) 2001

123101
12 20-01

17060417 759420 133613210 2001.09000 EDUCATION THROUGH MUSIC, IN 13361321

Part III **Statements About Activities** (See page 2 of the instructions)

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ ______ $ ______ (**Must equal amounts on line 38, Part VI-A, or line i of Part VI-B**) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities	1		X
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions)* SEE STATEMENT 7			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc ? (See **Note** below)	3		X
4	Do you have a section 403(b) annuity plan for your employees?	4		X

Note *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments*

Part IV **Reason for Non-Private Foundation Status** (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 ☐ A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v)

9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶ ______

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A.)

11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust. Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A.)

12 ☐ An organization that normally receives **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A.)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in **(1)** lines 5 through 12 above, or **(2)** section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	**(b)** Line number from above

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting**
Note *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

Calendar year (or fiscal year beginning in) ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts grants and contributions received (Do not include unusual grants See line 28)	502,557.	330,673.	377,382.	275,031.	1,485,643.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc , purpose	159,130.	102,552.	6,994.		268,676.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	4,202.	7,510.	8,543.	6,775.	27,030.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization s benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets			SEE STATEMENT 8 112.	2,500.	2,612.
23 Total of lines 15 through 22	665,889.	440,735.	393,031.	284,306.	1,783,961.
24 Line 23 minus line 17	506,759.	338,183.	386,037.	284,306.	1,515,285.
25 Enter 1% of line 23	6,659.	4,407.	3,930.	2,843.	

26 **Organizations described on lines 10 or 11** a Enter 2% of amount in column (e), line 24 ▶ 26a 30,306.

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a **Do not file this list with your return** Enter the total of all these excess amounts ▶ 26b 440,037.

c Total support for section 509(a)(1) test Enter line 24, column (e) ▶ 26c 1,515,285.

d Add Amounts from column (e) for lines 18 27,030. 19 ______ 22 2,612. 26b 440,037. ▶ 26d 469,679.

e Public support (line 26c minus line 26d total) ▶ 26e 1,045,606.

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f 69.0039%

27 **Organizations described on line 12** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person" **Do not file this list with your return** Enter the sum of such amounts for each year N/A

(2000) (1999) (1998) (1997)

b For any amount included in line 17 that was received from each peson (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) **Do not file this list with your return** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year N/A

(2000) (1999) (1998) (1997)

c Add Amounts from column (e) for lines 15 ______ 16 ______ 17 ______ 20 ______ 21 ______ ▶ 27c N/A

d Add Line 27a total ______ and line 27b total ______ ▶ 27d N/A

e Public support (line 27c total minus line 27d total) ▶ 27e N/A

f Total support for section 509(a)(2) test Enter amount on line 23, column (e) ▶ 27f N/A

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g N/A %

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ 27h N/A %

28 **Unusual Grants.** For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant **Do not file this list with your return** Do not include these grants in line 15

NONE

Part V **Private School Questionnaire** (See page 7 of the instructions) N/A
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement.)			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement.			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions) N/A

(To be completed ONLY by an eligible organization that filed Form 5768)

Check ▶ a ☐ if the organization belongs to an affiliated group Check ▶ b ☐ if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
		N/A	
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table -	41		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44		

If the amount on line 40 is -	The lobbying nontaxable amount is -
Not over \$500 000	20% of the amount on line 40
Over \$500 000 but not over \$1 000 000	\$100 000 plus 15% of the excess over \$500 000
Over \$1 000 000 but not over \$1 500 000	\$175 000 plus 10% of the excess over \$1 000 000
Over \$1 500 000 but not over \$17 000 000	\$225 000 plus 5% of the excess over \$1 500 000
Over \$17 000 000	\$1 000 000

Caution *If there is an amount on either line 43 or line 44, you must file Form 4720*

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 11 of the instructions)

	Lobbying Expenditures During 4-Year Averaging Period				N/A
Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount					0.
46 Lobbying ceiling amount (150% of line 45(e))					0.
47 Total lobbying expenditures					0.
48 Grassroots nontaxable amount					0.
49 Grassroots ceiling amount (150% of line 48(e))					0.
50 Grassroots lobbying expenditures					0.

Part VI-B **Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions) N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h)			0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Part VII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 12 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of:			
(i) Cash	51a(i)		X
(ii) Other assets	a(ii)		X
b Other transactions			
(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
(iii) Rental of facilities, equipment, or other assets	b(iii)		X
(iv) Reimbursement arrangements	b(iv)		X
(v) Loans or loan guarantees	b(v)		X
(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. N/A

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☒ No

b If "Yes," complete the following schedule. N/A

(a) Name of organization	(b) Type of organization	(c) Description of relationship

FORM 990 PAGE 2 990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis - ITC, 179, Salvage	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Amount Of Depreciation-
1	INSTRUMENTS	030198	SL	5.00	16	1,127.			1,127.	789.		225.
2	FURNITURE & EQUIPMENT	030198	SL	5.00	16	4,731.			4,731.	3,310.		946.
3	VIOLINS	082498	SL	5.00	16	6,055.			6,055.	3,026.		1,211.
4	WOODWINDS/BRASSWINDS	081198	SL	5.00	16	358.			358.	180.		72.
5	INSTRUMENTS	100698	SL	5.00	16	19,333.			19,333.	7,761.		3,867.
6	COMPUTER	083099	SL	5.00	16	2,914.			2,914.	1,457.		583.
7	INSTRUMENTS	083000	SL	5.00	16	23,634.			23,634.	7,091.		4,727.
8	FURNITURE & EQUIPMENT	083000	SL	5.00	16	2,145.			2,145.	644.		429.
9	PRINTER	101800	SL	5.00	16	300.			300.	30.		60.
10	FAX MACHINE	050301	SL	5.00	16	380.			380.	38.		76.
11	KEYBOARD	030102	SL	7.00	16	10,525.			10,525.			751.
12	KEYBOARD	030102	SL	7.00	16	10,525.			10,525.			751.
13	COMPUTER	030102	SL	3.00	16	2,379.			2,379.			398.
14	FURNITURE (DONATED)	030102	SL	5.00	16	5,000.			5,000.			500.
	* TOTAL 990 PAGE 2 DEPR					89,406.		0.	89,406.	24,326.	0.	14,596.

128102 10-03-01

(D) Asset disposed

FORM 990 SPECIAL EVENTS AND ACTIVITIES STATEMENT 1

DESCRIPTION OF EVENT	GROSS RECEIPTS	CONTRIBUT. INCLUDED	GROSS REVENUE	DIRECT EXPENSES	NET INCOME
CHILDREN'S BENEFIT HELD ON MAY 5, 2002	75,275.	53,536.	21,739.	21,739.	0.
TO FM 990, PART I, LINE 9	75,275.	53,536.	21,739.	21,739.	0.

FORM 990 OTHER CHANGES IN NET ASSETS OR FUND BALANCES STATEMENT 2

DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	319.
TOTAL TO FORM 990, PART I, LINE 20	319.

FORM 990 STATEMENT OF ORGANIZATION'S PRIMARY EXEMPT PURPOSE STATEMENT 3
PART III

EXPLANATION

THE ORGANIZATION WAS ESTABLISHED TO PROMOTE THE CONCEPT OF TEACHING MUSIC & OTHER ARTS AS A MEANS OF ENHANCING THE LEARNING IN ACADEMIC AREAS.

FORM 990 OTHER INVESTMENTS STATEMENT 4

DESCRIPTION	VALUATION METHOD	AMOUNT
INVESTMENTS	MARKET VALUE	104,277.
TOTAL TO FORM 990, PART IV, LINE 56, COLUMN B		104,277.

FORM 990 DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 5

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
INSTRUMENTS	1,127.	1,014.	113.
FURNITURE & EQUIPMENT	4,731.	4,256.	475.
VIOLINS	6,055.	4,237.	1,818.
WOODWINDS/BRASSWINDST	358.	252.	106.
INSTRUMENTS	19,333.	11,628.	7,705.
COMPUTER	2,914.	2,040.	874.
INSTRUMENTS	23,634.	11,818.	11,816.
FURNITURE & EQUIPMENT	2,145.	1,073.	1,072.
PRINTER	300.	90.	210.
FAX MACHINE	380.	114.	266.
KEYBOARD	10,525.	751.	9,774.
KEYBOARD	10,525.	751.	9,774.
COMPUTER	2,379.	398.	1,981.
FURNITURE (DONATED)	5,000.	500.	4,500.
TOTAL TO FORM 990, PART IV, LN 57	89,406.	38,922.	50,484.

FORM 990 OTHER ASSETS STATEMENT 6

DESCRIPTION	AMOUNT
SECURITY DEPOSIT	9,512.
COLLECTIONS	4,000.
TOTAL TO FORM 990, PART IV, LINE 58, COLUMN B	13,512.

SCHEDULE A STATEMENT REGARDING ACTIVITIES WITH SUBSTANTIAL CONTRIBUTORS, TRUSTEES, DIRECTORS, CREATORS, KEY EMPLOYEES, ETC,. PART III, LINE 2 STATEMENT 7

KATHERINE A. DAMKOHLER, EXECUTIVE DIRECTOR RECEIVED A SALARY OF $87,500. HER SALARY WAS SET BY THE BOARD OF DIRECTORS AND DETERMINED TO BE COMMENSURATE WITH HER DUTIES AND RESPONSIBILITIES.

SCHEDULE A OTHER INCOME STATEMENT 8

DESCRIPTION	2000 AMOUNT	1999 AMOUNT	1998 AMOUNT	1997 AMOUNT
MISCELLANEOUS	0.	0.	112.	2,500.
TOTAL TO SCHEDULE A, LINE 22	0.	0.	112.	2,500.

Education Through Music
EIN # 13-3613211
Year Ended 8/31/02

SCHEDULE OF FUNCTIONAL EXPENSES

	Program Service Education	Supporting Services: Management and General	Supporting Services: Fundraising	2002 Total Expenses
Administrative salaries	$ -	$ 35,224	$ 30,386	$ 65,610
Consulting salaries	87,660	-	-	87,660
Staff development salaries	111,789	-	-	111,789
Teaching artist salaries	221,029	-	-	221,029
Total Salaries	420,478	35,224	30,386	486,088
Payroll taxes and fringe benefits	55,680	4,480	3,840	64,000
Contracted arts instruction	3,565	-	-	3,565
Staff development and teaching artist training	8,961	-	-	8,961
Travel/meetings	3,441	267	115	3,823
Rent	23,742	9,893	5,935	39,570
Office supplies/equipment	4,535	1,889	1,134	7,558
Telephone	3,453	1,439	863	5,755
Accounting	-	7,157	-	7,157
Bookkeeping	-	2,440	-	2,440
Promotional materials	2,060	1,030	1,030	4,120
Insurance	7,786	5,060	130	12,976
Miscellaneous	2,426	1,011	606	4,043
Recruiting	-	200	-	200
Program materials and supplies	6,478	-	-	6,478
Indirect benefit and fundraising event expenses	-	-	13,780	13,780
Total expenses before depreciation expense	542,605	70,090	57,819	670,514
Depreciation expense	12,552	1,022	1,022	14,596
	$ 555,157	$ 71,112	$ 58,841	$685,110
Less Compensation of Officers, Directors, etc	(65,625)	(8,750)	(13,125)	(87,500)
Depreciation	(12,552)	(1,022)	(1,022)	(14,596)
Total Other Expenses, 2002	**$ 476,980**	**$ 61,340**	**$ 44,694**	**$ 583,014**

Statement A
Form 990; Page 2; Line 26A

2001-2002 Program Service Accomplishments

During the 2001-2002 school year, Education Through Music worked towards our objectives of providing comprehensive arts instruction for every child in our partner schools, training teaching artists and academic teachers, integrating the arts into each school's culture, and helping each school to develop plans for establishing financial self-sufficiency We served nearly 5,000 students in 9 partner schools in the New York metropolitan area

Responding to September 11

While none of ETM's partner schools are located in lower Manhattan, the events of September 11 nonetheless had an impact on the students and communities we serve, as they did on the entire nation In the aftermath of the attacks, the presence of the arts in our partner schools has been even more important Music can play a significant role in the healing process, providing a chance for children to express themselves and helping to create a sense of community ETM maintained its partnerships and programs in spite of the tragedy, continuing to serve all of our partner school students with a regularly-scheduled, comprehensive and sequential music curriculum Our partner schools embraced teaching artists as members of the school community as everyone strove to recover, schools also responded with a renewed support of our programs

New Partner School

In the fall, ETM took on one new partner school Mt Carmel – Holy Rosary School in East Harlem, a K-8 school with approximately 275 students Before partnering with ETM, the school had no music programs and had only recently been removed from the Archdiocese's list of schools slated for closure because of their successful development of a strategic plan Principal Yvonne Lewis has been extremely supportive of ETM, embracing the teaching artists at her school, taking part in leadership training and inviting ETM to speak with parents We look forward to continuing and enriching this partnership

Arts Instruction

Partner school students received weekly or biweekly instruction in one or more of the following disciplines choral and general music, early childhood music and movement, violin, cello, recorder, keyboard, and dance Teaching artists made use of ETM's skills-based curriculum, which follows New York State's arts learning standards Teaching artists and academic teachers collaborated to find meaningful connections to enrich and enliven students' learning

We continue to see a positive impact on students and overall partner school cultures Academic teachers' responses to surveys indicate a general appreciation and respect for the arts education in place at their school Most find the principals to be supportive of the program, are pleased with the teaching artists' abilities and training, see improvements in student achievement, and express a desire for the arts to continue to be a part of the school's curriculum in the future Survey responses included

> *"The children have great enthusiasm and have shown growth in social skills This has improved the classroom environment "*
> *- Kindergarten classroom teacher*

> *"The [teaching artists] are very interested in the students They are all very qualified "*
> *- Grade 3 Special Education teacher*

"The arts are becoming part of the curriculum in an exciting way "
- ESL and Reading teacher

"Arts in our school should continue as an integral part of the curriculum "
- Classroom teacher

Performance and Enrichment Opportunities

Although performance is not the primary goal of ETM's arts instruction, students did present school concerts for parents and community members, and groups occasionally performed around the community at local businesses or during holiday celebrations Partner school students benefited from enrichment opportunities which aimed to nurture arts appreciation and enhance instruction These included in-school concerts by singers and pianists sponsored by the Marilyn Horne Foundation, an outreach program by the InterSchool Orchestra, and performances by teaching artists Additionally, ETM continues its relationship with the Usdan Center for the Creative and Performing Arts Since 1998, Usdan has provided scholarships to select students from ETM partner schools, based on enthusiasm and talent, for the center's seven-week day camp in Long Island, 20 ETM students will receive scholarships to the summer 2002 session

Training: Teaching Artists, Academic Teachers and Principals

Teaching Artists

ETM's third annual Teaching Artist Academy began in August 2001 with seven days of workshops Training addressed pedagogy, such as classroom management, structure, writing lesson plans, and small group instruction, music methodologies including Dalcroze, Orff and Kodaly, integration and collaboration strategies and ETM policy Teaching artists received continued professional development throughout the year at bi-monthly workshops Facilitators included ETM program coordinators, Director of Programs Sara Lise Raff and outside experts

This year's academy featured multiple tracks, enabling veteran teaching artists to address deeper issues while new teaching artists gained a solid base to help them achieve success in the classroom Training also covered ETM's curriculum and our new resource binders for string and choral teaching artists, developed over the summer of 2001

As additional, individualized support, each teaching artist received ongoing mentoring from an experienced program coordinator and the Director of Programs Regular feedback from monthly observations, including two formal evaluations, enabled teaching artists to improve their teaching techniques throughout the year

Teaching artists have found the ongoing training and mentoring extremely helpful in preparing them for the classroom and providing continuing support Comments include, "I feel informed on how to handle diverse situations and how to create a more active environment," and "It was great to get ideas from other [teaching artists] and have the opportunity to brainstorm I can't wait to use what I learned " Academic teachers are grateful to have well-trained teaching artists at their school In a recent survey, one classroom teacher reported being impressed by her teaching artist's "understanding of child development "

Academic Teachers

Academic teachers attended ETM workshops as part of staff development at each school to learn about arts education, the ETM program, and their role in it Topics covered included explaining to academic teachers how the collaboration and integration strategies used by teaching artists can support students' overall learning and development Through a combination of workshops, educational concerts and demonstration lessons, academic teachers learned collaboration and integration strategies for themselves, received personal exposure to music, and increased their own appreciation for the arts Academic teachers have appreciated the opportunity to become more aware of and involved in the music programs at their schools

The Teachers Institute

ETM's annual Teachers Institute was held on March 16, 2002, and was attended by approximately 75 academic teachers, principals, parents, and school personnel Workshops focused on giving academic teachers actual music instruction, because of previous requests by partner school academic teachers to learn basic music skills for themselves ETM teaching artists facilitated most of the sessions, with an outside specialist leading a workshop for parents By receiving instruction from the same teaching artists who work in ETM's partner schools, the attending academic teachers not only learned music skills, but also got a better idea of what their students experience in music classes during the school year Evaluations were extremely positive, participants enjoyed the opportunity to gain musical knowledge and several wrote that they wished the Institute had been longer Responses from participants included, "I loved the hands on approach," "Everything was wonderful You should have more workshops like this in the future," and "The instructors were very clear and thorough They simplified and broke everything down – it made sense!"

Principals

Principals received ongoing guidance from ETM staff on how to embrace arts instruction, make it part of the school culture, and create the resources necessary for sustaining programs This year training for principals became more formalized with the piloting of a Leadership Institute, run in collaboration with Macmillan/McGraw-Hill The company sponsored two workshops to introduce principals to the world of corporate management, which has many applications to managing a school Session topics included time management, dealing with change (using the popular book and video "Who Moved My Cheese?") and delegating responsibility Through such leadership training, we intend for principals to manage programs more effectively, enhance student learning, improve general teaching practices, and sustain these improvements for years to come

Integration & Collaboration

ETM encouraged schools to schedule collaborative planning sessions between academic teachers and teaching artists so they might have the opportunity to discuss curricula, support each other, and prepare integrated lessons Teaching artists and academic teachers also maintained contact by meeting during common preps, during lunch, before and after class, or by exchanging notes Teaching artists used their knowledge of the academic teachers' goals and curricula to plan music lessons that would support the work being done in the classroom through transferable skills At P S 160, academic teachers put together a variety of assembly programs throughout the year and used the teaching artists as a resource for planning and presentation

Sustaining Programs

Partner schools continue to work towards sustaining their own arts programs This year, P S 3, P S 68, P S 160, P S 166, St Ann School and St Philip Neri School all funded part of their teaching artist salary costs In addition to direct financial support, schools have increased parental and community support for the arts

ETM has presented to parent and advisory committee meetings at several schools, to help them become advocates for arts education Additionally, advertising student performances to the public and including the parent component at the Teachers Institute have increased parental and community awareness of and support for arts programs in our partner schools P S 3 in Brooklyn received a grant to involve parents and students in a collaborative arts project, with a presentation occurring in May

Relationships with local businesses can provide an opportunity to advertise both a school's accomplishments and needs to the surrounding community Fifth-year partner school P S 68 began a partnership with a local restaurant in December 2001 The chorus has performed for patrons, and the restaurant will periodically donate money to the school in support of the music programs The school principal and restaurant general manager continue to explore additional options for this new relationship

Celebrating a Decade of Service

To celebrate ETM's first decade of service and the more than ten thousand children who have benefited over the years from the music programs we provide, ETM held a Children's Benefit in May 2002, honoring the founders of ETM Edmund R Schroeder and Eldon C Mayer, Jr Approximately 150 friends of ETM gathered at the Pelham Country Club for the event, which featured performances by students from two of ETM's Bronx partner schools violinists and cellists from P S 68 "The Edward A Fogel School" and singers from St Philip Neri School

Expansion

ETM expanded its staff to support development efforts, hiring a full-time Director of Development and Special Projects

The ETM model of strong leadership, a comprehensive and sequential curriculum, knowledgeable and well-trained teaching artists, supportive academic teachers, and ongoing assessment, continues to be effective, and ETM has shared its expertise with educators and administrators from around New York City and the rest of the country This year, ETM was invited to present arts education conferences and panel discussions held by the Bank Street College of Education, the Manhattan School of Music, and the Center for Arts Education ETM presented at the Mississippi Arts Commission's "Whole Schools Institute" in July 2000 and July 2001, designing and implementing the leadership track and addressing principals on assessment and management of programs Ideas and materials presented in Mississippi helped ETM to develop the Leadership Institute and improve our local programs In April 2002, ETM Executive Director Katherine Damkohler was the keynote speaker at a conference for the Arts Council of Greater Grand Rapids (Michigan)

Thanks to the support of our contributors, ETM expanded and improved service to its partner schools We educated children, improved teaching, and developed schools' capacity to independently maintain the arts as an integral part of their school culture

Total Program Service Expenses **$555,157**

Schedule of Officers, Directors, Trustees and Key Employees
Fiscal year ending 8-31-02

BOARD OF DIRECTORS

1 Ms Ellen Buzbee
15 West 72nd Street
New York, NY 10023

2 Mr Robert R Coby
118 North Drive
No Massapequa, NY 11758

3 Ms Katherine Elliott
17 Masterton Road
Bronxville, NY 10708

4 Mr Victor Goldberg
22 Axtell Drive
Scarsdale, NY 10583

5 Ms Carmela Haklisch
6 Forest Lane
Scarsdale, NY 10583

6 Mr Walter J Handelman (Second Vice-Chairman)
One North Broadway
White Plains, NY 10601

7 Mr Robert Jones
20 Creamer Hill Road
Greenwich, CT 06831

8 Ms Mara L Klein
Prudential Financial
250 Park Avenue, 7th Floor
New York, NY 10177

9 Mr Andrew J Malik
Lehman Brothers
399 Park Avenue
New York, NY 10022

10 Mr Eldon C Mayer, Jr (First Vice-Chairman)
Box 58B
Chebeague, ME 04017

11 Mr Joseph F McDonald (Treasurer)
Morgan, Lewis, Bockius
101 Park Avenue
New York, NY 10178

12 Mr Edward J Petner
18A Swift Lane
Darien, CT 06820

13 Edmund R Schroeder (Chairman)
Cadwalader, Wickersham, & Taft
100 Maiden Lane
New York, NY 10038

14 Ms Mary Treacy Thompson
46 Jefferson Road
Scarsdale, NY 10583

KEY EMPLOYEE

* 1 Katherine Damkohler
Compensation '$87,500 per year
200 Boulevard, Pelham, NY 10803
Executive Director
50+ hours per week

* **NOTE Except where noted, none of the above received compensation, benefits or expense allowances**

● If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box ▶ [X]

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must file Original and One Copy.

Type or print. File by the extended due date for filing the return See instructions

Name of Exempt Organization	Employer identification number
EDUCATION THROUGH MUSIC, INC.	13-3613210
Number, street, and room or suite no If a P O box, see instructions	For IRS use only
122 EAST 42ND STREET, NO. 1014	
City, town or post office, state, and ZIP code For a foreign address, see instructions	
NEW YORK, NY 10168	

Check type of return to be filed (File a separate application for each return)

[X] Form 990 [ ] Form 990 EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [ ] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP. Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

● If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

● If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the **whole** group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until JULY 15, 2003

5 For calendar year ______ , or other tax year beginning SEP 1, 2001 and ending AUG 31, 2002

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO COMPLETE THE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions $ ______

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 $ ______

c **Balance Due** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions $ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Allen Gill Title ▶ CPA Date ▶ 4/1/03

Notice to Applicant - To Be Completed by the IRS

[X] We have approved this application Please attach this form to the organization's return

[ ] We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return

[ ] We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting the 10-day grace period

[ ] We cannot consider this application because it was filed after the due date of the return for which an extension was requested

[ ] Other ______

RECEIVED
APR 04 2003
OGDEN, UT
IRS-OSC

______ By ______ ______
Director Date

EXTENSION APPROVED
LINDA WEISKOPF, FIELD DIRECTOR, SUBMISSION PROCESSING

Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print

Name
LUTZ AND CARR, CPA'S LLP
Number and street (include suite, room, or apt no) Or a P O box number
300 EAST 42ND STREET - 8TH FLOOR
City or town, province or state, and country (including postal or ZIP code)
NEW YORK, NY 10017

123832 07-16-01

Form **8868** (December 2000)
Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545 1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note Form 990-T corporations *requesting an automatic 6-month extension - check this box and complete Part I only* ▶ [ ]
All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization	Employer identification number
	EDUCATION THROUGH MUSIC, INC.	13-3613210
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 122 EAST 42ND STREET, NO. 1014	
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10168	

Check type of return to be filed (file a separate application for each return)

[X] Form 990 [ ] Form 990 T (corporation) [ ] Form 4720
[ ] Form 990-BL [ ] Form 990 T (sec 401(a) or 408(a) trust) [ ] Form 5227
[ ] Form 990-EZ [ ] Form 990-T (trust other than above) [ ] Form 6069
[ ] Form 990-PF [ ] Form 1041 A [ ] Form 8870

- If the organization does **not** have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the **whole** group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 month, for **990-T corporation**) extension of time until APRIL 15, 2003 to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [ ] calendar year ______ or
▶ [X] tax year beginning SEP 1, 2001, and ending AUG 31, 2002

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions $ ________

b If this application is for Form 990-PF or 990 T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit $ ________

c **Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions $ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ [signature] Title ▶ CPA Date ▶ 1/9/03

LHA **For Paperwork Reduction Act Notice, see instruction** Form **8868** (12-2000)

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